



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 01-494)

In the Application of:)	
)	
David James Stevenson et al.)	Before the Examiner: TBA
)	
Serial No. 09/897,232)	
)	Group Art Unit: 2176
Filed: July 2, 2001)	
)	
For: Network Management Apparatus and Method)	
For Determining Network Events)	

TRANSMITTAL LETTER

Commissioner for Patents
Washington, D.C. 20231

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Technology Center 2100

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:
 - a) Third Supplemental Information Disclosure Statement Letter;
 - b) Third Supplemental Information Disclosure Statement Form PTO-1449;
 - c) 5 Cited References; and
 - d) Postcard.
2. With respect to fees:
 - a) No fee is required.
 - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on March 21, 2003.

By: _____

Thomas E. Wettermann
Reg. No. 41,523



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THIRD SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

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Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103, nor is this submission to be construed as a representation that a search has been made.

CITED REFERENCES

U.S. Patent Documents

Document Number	Date	Name
6,167,032	December 26, 2000	Allison et al.
6,438,184	August 20, 2002	Nayler
6,147,974	November 14, 2000	Matsumoto et al.
5,732,094	March 24, 1998	Petersen et al.
5,434,872	July 18, 1995	Petersen et al.

Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff

Date:

3/21/03

By:



Thomas E. Wettermann
Registration No. 41,523

FORM PTO-1449
(Rev. 2-32)

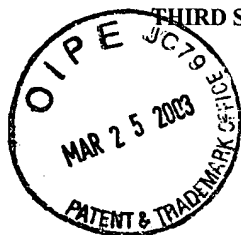
U.S. Department of Commerce
Patent and Trademark Office

Atty. Docket No.

01-494

Serial No.

09/897,232



**THIRD SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

Applicant:

David James Stevenson et al.

Filing Date:

July 2, 2001

Group:

2176

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	6,167,032	12-26-00	Allison et al.	370	252	11-07-97
	2.	6,438,184 B1	08-20-02	Nayler	375	345	01-12-99
	3.	6,147,974	11-14-00	Matsumoto et al.	370	252	02-27-98
	4.	5,732,094	03-24-98	Petersen et al.	371	51.1	09-16-96
	5.	5,434,872	07-18-95	Petersen et al.	371	57.1	07-28-92

FOREIGN DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

EXAMINER	DATE CONSIDERED	

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.